

NORTHERN HEALTH AND SOCIAL CARE TRUST

General Capital Plan 2015/16 and Capital Bid for June Monitoring Round

1.0 Introduction:

The Trust is required to agree a General Capital Plan, including Maintaining Essential Services (MES), for 15/16.

2.0 Capital Allocation for 15/16

2.1 April 15/16 CRL is:

Project	April CRL 2015/16 £
Ballymena Health & Care Centre - Project Management Costs	124,000
Ballymena Health & Care Centre - Enabling and Main	4,513,278*
Ballee Children's Home	8,838
Adult Orthodontics	330,856*
AAH Neonatal Troop Recommendations	18,000
PFI – Replacement Renal Contract	1,740,000
General Capital	2,847,055
SAMMD – AAH Replacement Scanner	363,372
Total	£9,945,400

* Interim CRLs will remain in place for these Projects pending further clarification/information from the Trust on Contingency.

Correspondence from the Investment Directorate advises CRLs in respect of ICT and SAMMD (Strategic Asset Management of Medical Devices) which are managed centrally, will be issued in due course. Unlike last year, there has been no funding identified within General Capital allocation for MES projects.

3.0 Allocation of General Capital 15/16

At the end of September 2014, Directorates completed a list of their prioritised bids for 15/16 general capital along with a general capital scoring sheet per bid to assist with their prioritisation. It was further decided that the submitted bids should be categorised against the following:

Category 1	Projects that, if not undertaken imminently, would present the Trust with unacceptable and unmanageable levels of risk to service delivery and/or user safety ie would pose potential to threat of life
Category 2	Projects that if not undertaken , would in isolation, prevent the Trust from meeting SBA or other key targets
Category 3	Projects with potential to achieve revenue efficiencies at a level that will recoup the capital investment
Category 4	Project that will improve patient experience
Category 5	Projects that must be undertaken to meet statutory requirements
Category 6	Facilitate Education/Training

In an attempt to negate nugatory work on business cases, in December the Executive Team agreed to progress all Category 1 bids, the first 6 MES bids and the top 20 scoring schemes (which were not a Category 1 scheme) to business case completion in readiness for initial CRL 15/16. This decision was communicated to Directorates at the end of December, requesting that fully costed and appropriately approved business cases would be with Capital Development Department by the end of March 2015.

During January and February 2015, BSO Internal Audit carried out an audit of the processes and procedures around capital business cases. The Audit considered the process for prioritising the different project bids for robustness, transparency, including audit trail and consistency of process (2013/14 compared to 2014/15 and 2015/16; the level of authority for approving the scoring and ranking of different bids; reviewed minutes from SMT and Board to assess how projects are ranked and scored). An audit recommendation is that a formal process for assessment and scoring of capital bids and ranking of these based on the awarded score should be reintroduced for the 2015/16 capital programme. This recommendation was accepted by Senior Management with two General Capital Scrutiny Group meetings held on 16th and 23rd April 2015 to review each of The Directorate's submitted bids.

An outcome of these meetings is a draft list of bids. Another outcome is a proposed methodology for the allocation of General Capital as follows:

- Roll forward schemes from 14/15 to 15/16
- MES
- Category 1 schemes (including medical devices)
- Corporate schemes (e.g. capitalisation of items <£5K)
- Contingency "pot" for client specific items >£5K
- Directorate/Service Area top schemes prioritised by Executive Team

3.1 Contractually Committed Schemes

The table below details the schemes that are already contractually committed for 15/16 from general capital.

Scheme Title	Allocation N ^o	Value
Mid Ulster Hospital Pharmacy Scheme	BB150009	£416,452
Refurbishment of Ferrard House	BB150047	£221,566
TOTAL		£638,018

Remainder of General Capital

Committed Capital	£638,018
15/16 CRL	£2,847,055
Available Capital	£2,209,037

3.2 Proposal to allocate available capital.

The following table is the list of projects/bids agreed by General Capital Scrutiny Group on 23rd April 2015 that went forward for Executive Team approval on 12th May 2015.

Directorate	Priority	Category	Scheme Title	Value of Scheme	Note
MES	1	1	Legionella & Pseudomonas Works	£245,000	No change
MES	2	1	Electrical Infrastructure Upgrades in accordance with Statutory Standards	£110,000	Revised from £310k
MES	3	1	Ventilation & Air Conditioning Replacement of R22 Plant – Phase 1	£238,000	Revised from £340k
MES	4	1	Generator Fuel Storage & Delivery System, External Soil Stacks and Labs Steam Main AAH	£105,000	Revised from £155k
MES	5	1	Firecode 15/16	£100,000	Revised from £250k
MES Total				£798,000	Revised from £1.3m
Acute	1	1	Ambulance Triage Facility Causeway Hospital	£18,500	
Acute	17	1	Observation facility Causeway ED	£24,000	
Acute	19	1	Refurb of ICU Kitchen Antrim and redesign clean utility	£12,000	
Acute	MEDICAL DEVICES	1	Endobronchial Ultrasound System – EBUS	£210,000	
Acute		2	Monitoring A& E System	£220,000	
Acute		3	Obstetric Theatre Lights	£30,000	

Category 1 Total				£514,500	
PCCOPS	Corporate	n/s	Lifts/wheelchairs <£4K	0	£500k at risk – to be bid under June Monitoring
Acute		n/s	Whiteabbey Ward 1	£278,000	Awaiting approval
PCCOPS		n/s	Podiatry Decontamination reusable instruments	£336,,000	Requested DHSSPS to fund
Acute/ Corporate		n/s	ICT: Open Ward	£197,000	
Acute/ Corporate		n/s	ICT: Datix	£60,000	Bid to HSCB approved verbally
Acute/ Corporate		n/s	ICT: RISOH (£15k for Estates work to allow completion of the Regional Info System for Oncology and Haematology)	£15,000	Bid to HSCB approved verbally
Corporate		n/s	Access / signage / ICT upgrade and internal modifications for Innovation Hub / HQ - approximate costs	£150,000	A second option with 100 car parking spaces = £390K
Corporate Total				£1,036,000	
Children's	Contingency "pot"	n/s	SLT Communication Device "pot"	£25,000	
Contingency Total				£25,000	
Grand Total				£2,373,500	
Over commitment				£164,463	This would move to an under commitment of £113,537 if the ward 1 scheme does not proceed and to £188,537 if Datix and RISOH area also confirmed as funded by the HSCB

Note:

1. Only MES schemes and Acute Directorate bids were assessed at Category 1 by the Capital Scrutiny Group.
2. The above bids / approvals will be reviewed at the end of June once the Trust is clearer on the success of the June Monitoring bid.

3.3 June Monitoring Consideration

Further correspondence has been received from the Investment Directorate to request the Trust's bids for the June Monitoring exercise for capital expenditure, required by Friday 8th May 2015. The letter states that *"the financial context for 2015/16 would suggest that very limited Capital DEL will be available from the centre for redistribution and must constrain the nature and scope of any bids"*. In this context Trusts have been asked to prepare a list of prioritised bids for additional spending proposals for 2015/16.

It was the proposal of the General Capital Scrutiny Group, at meeting of 23rd April 2015, to invite each Directorate/Service Area to review their top 6 bids, inclusive of medical devices, which in turn would form part of Trust's bid for the June Monitoring return to DHSSPS by Friday 8th May 2015. The Group also proposed that these bids would form the list for future Monitoring Rounds and/or any slippage that becomes available within financial year.

The following table is the list of top (up to) 6 bids per Directorate/Service area that were submitted in December; excluding those detailed in table above. Note that these scores were provided by Directorate/Service Area and have not been scrutinised any further.

Directorate	Priority	Score	Scheme Title	Value of Scheme
Corporate		n/a	Lifts / wheelchair >£4k	£500,000
Corporate Total				£500,000
Acute	1	945	Change of rooms to meet health and safety standards in relation to new steri med mascerator	£6,614
	2	870	Refurbishment of delivery room to accommodate a static, fit for purpose birthing pool in a home from home environment	£100,000
	3	880	Air conditioning in Chemotherapy unit in Laurel House 2014/15	£25,000
	4	850	Expansion (and equipment fitting) of the Acute Assessment Unit	£80,000
	5	820	Refurbishment of Antrim theatres anaesthetic rooms (4), recovery area outlying storage areas	£97,000
	6	755	High density storage facility DPU Causeway	£5,500
Acute Costed Total				£314,114
Children's	1	470	One Stop Dispensing	£22,000
	2	370	Upgrade of Whiteabbey Site for Paediatric OT Service	TBD
	3	340	Refurbishment of Glengormley Community Services Centre	TBD
	4	320	Refurbishment of Social Care Training	£23,000

			Unit accommodation, Holywell Hospital	
Children's Costed Total				£44,000
Estates		n/s	Phase 2 of 15/16 MES	£500,000
	1	n/s	Steam Generator MUH (Phase 2)	£497,000
	2	n/s	Fern House Air Conditioning	£70,000
	3	n/s	Solar PV Scheme	£100,000
	4	n/s	Trustwide Asbestos Removal works	£100,000
	5	n/s	Replacement of Escape Stairs	£88,000
	6	n/s	Repairs to window components and fitting of window restrictors	£93,000
Estates Costed Total				£1,448,000
Informatics	n/a	n/s	Enhanced infrastructure – HRPTS Accounts to facilitate staff access Trustwide	£154,000
Informatics Costed Total				£154,000
Medical Devices	1	n/s	Replacement of infusion Pumps Causeway	£224,000
	2	n/s	Mid Ulster Radex	£50,000
	3	n/s	Replacement pendants in 3 theatres Causeway to include high definition monitors	£300,000
	4	n/s	Anaesthetic Record System Theatres & DSU	£231,000
	5	n/s	Obs & Gynae Equipment	£120,000
	6	n/s	Flow Cytometer	£85,500
Medical Devices Costed Total				£1,010,500
Mental Health	1	775	Magherafelt SCS	£108,000
	2	710	Psychological Therapy Services	£38,000
	3	720	Provision of Records Storage, Inver 3 Holywell	Not costed
	4	690	Works to Adult Centres	Not costed
	5	580	Annex, Tardree, Holywell	Not costed
Mental Health Costed Total				£146,000
Support Services	1	800	Holywell Catering – Replacement Bain Marie and heated plate dispenser	£7,000
	2	750	Refurbishment Ward 2 Kitchen Whiteabbey	£12,000
	3	750	Refurbish Servery Braid Valley	£35,000
	4	750	Refurbish Kitchen Inver Intermediate Care Unit	£12,000
	5	650	Replacement vehicle for Wilson House DC	£79,000
	6	650	Replacement vehicle for Cherry Lodge	£48,000
Support Services Costed Total				£193,000
PCCOPS	1	675	Installation of Lifts in Service User's Home Environment (costing in excess of £4K)	£240,000
	2	645	Provision of Wheelchairs which cost in excess of £5K	£40,000

	3	610	Flooring - Joymount / Rosedale Residential Homes - Maghera Day centre	£6,000
	4	560	Gloucester Park Day Centre - Refurbishment and Lighting	£7,500
	5	525	Bariatric Bundles for Community Hospitals	£54,000
	6	475	Refurbishment of Ward 5/6, Mid-Ulster Hospital (£400k scheme – 2 years)	£200,000
PCCOPS Costed Total				£547,500
Grand Total				£4,357,114

The submission for June Monitoring Round is:

- Contractual Commitments – any changes to agreed contractual commitments that impact on 2015/16 - **This applies only to BHCC and Hospital Dentistry. The capital required in 15/16 for BHCC is £9,426,555 and for Hospital Dentistry is £661,712. These figures have already been advised to DHSSPS and will be formally requested through the CRL variation process.**
- High Priority Projects – proposals to speed up the delivery/start date of projects – **Decontamination of Reusable Community Dental and Podiatry Instruments of £1,734k**
- New Projects – proposals to bring forward approved unfunded projects that are a key driver for modernisation, TYC or support revenue savings proposals. - **£130k for the design of the MRI scanner**
- ICT – proposals for additional spend in line with the ICT programme and approved by the HSCB - **£154k for bids not successful through HSCB (HRPTS Accounts)**
- SAMMD – proposals to bring forward investment in medical devices as approved by the SAMMD programme – **£875k (exc. the second MRI scanner as included in the above).**
- General Capital/MES – proposals for spend on Trust led projects and equipment (not included above) - **£4,203.1k**

CRL (general capital) = £2,847,055	
Roll forward schemes	£638,018
MES	£798,000
Category 1	£514,500
Client Specific Pot	£25,000
Corporate Schemes	£1,036,000
Total	£3,011,518

Over commitment	£164,463
June Monitoring bids =	
Podiatry Decontamination	£336,000
Dental Decontamination	£1,398,000
Design for MRI scanner (2 ND)	£130,000
General Capital Bids not funded to date (see table above including ICT at £154k)	£4,357,114
SAMMD	£875,000
Total of June Monitoring bids	£7,096,114

3.4 Issues

The draft 15/16 general capital plan at this stage does not allocate any general capital for:

- Site rationalisation; including refurbishment of Trust buildings to relinquish releases; allow for demolition of Trust buildings no longer required
- Strategic schemes related to RAMP.

Note June Monitoring round bids have been submitted on 8th May as detailed above. Outcome will be known the first week in July.