

# Trust Board Capital Programme October 2021

Prepared & Issued by Capital Development,  
SD&BS

25<sup>th</sup> November 2021

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## Section 1: Capital Programme 2021/22

### 1.1 CRL Allocation 2021/22

Following receipt of the most recent CRL on 20<sup>th</sup> October 2021, the Trusts Capital Resourcing Limit is £25.634m, which is an increase of £2.642m from October Trust Board relating to funding received for General Capital from the October Monitoring Rounds and ICT.

The table below shows the breakdown of the specific and general allocations:

Funding type	Project	Total £'000
Specific	Mental Health Inpatient Facility	1,246
	ICT Tranche 1,2, & 3	6,490
General	General Capital <sup>1</sup>	8,553
	Invest to Save	1,075
	Task and Finish	852
	Backlog Maintenance	4,750
	ICU Relocation Works (Antrim Hospital)	2,167
	No More Silos	500
	<b>Total CRL available</b>	<b>25,634</b>

<sup>1</sup>Breakdown of General Capital (including over commitment) by division is provided in the next table

## Section 2: Capital Allocations at 30<sup>th</sup> October 2021

### 2.1 General Capital Split by Division (based on over commitment in relation to OBC development)

The table below provides the breakdown of capital across the Divisions.

Division	£'000	%
Corporate (across divisions)	3,531	38.6
Community Care	153	1.7
Finance & Estates (Excluding Backlog Maintenance)	630	6.9
Human Resources, Organisation Development & Corporate Communications	30	0.3
Medical Devices	1,680	18.4
Medical and Governance (Incorporating Pharmacy & Governance)	70	0.8
Medicine and Emergency Medicine	36	0.4
Mental Health, Learning Disability and Community Well-being	349	3.8
Nursing and User Experience (Including Corporate Support Services)	1,550	16.9
Surgical and Clinical Services	605	6.6
Strategic Development and Business Services	125	1.4
Women, Children and Families	386	4.2
<b>Total Allocated</b>	<b>9,145</b>	<b>100</b>
<b>General Capital CRL</b>	<b>8,553</b>	
<b>Over (Under) Allocated</b>	<b>592</b>	

As with previous years the plan is over committed in terms of OBC development as there is a high likelihood that schemes will not complete fully in year or following OBC development may not be viable. The Trust is presenting an over commitment against the General CRL of £592k, an increase of £224k from the October Trust Board Report. This relates to an allocation of general capital funding of £348k required to address the shortfall from Task and Finish funding of £852k received for the Crisis Resolution Home Treatment Team accommodation and offset by other schemes no longer progressing.

## 2.2 Specific and General Capital Spend per scheme at 30<sup>th</sup> October 2021

The following table indicates the actual spend and commitments at 30<sup>th</sup> October 2021. 30.7% of the total approved capital has been spent or committed which is an increase of 6.5% on the same period last year with ICT expenditure equating to 15.7% of the overall spend.

Approved Funding 2020/21	Approved Funding £'000	Spend £'000	% Spend of Approved Funding
Corporate (Across Divisions)	7,442	2,237	
Community Care	153	1	
Finance & Estates (Including Backlog Maintenance & Invest to Save)	6,443	410	
Human Resources, Organisation Development & Corporate Communications	30		
Medical Devices	1,680	23	
Medical (Incorporating Pharmacy and Governance)	70	-	
Medicine and Emergency Medicine	36	7	
Mental Health Learning Disability and Community Well Being (Including Task and Finish)	1,202	123	
Nursing and User Experience	1,550	932	
Surgical and Clinical Services	605	150	
Strategic Development and Business Services (ICT)	6,615	4,112	
Women, Children and Families	386	47	
<b>Total</b>	<b>26,212</b>	<b>8,042</b>	<b>30.7%</b>
<b>Total Capital CRL</b>	<b>25,634</b>		
<b>Over (Under) Allocated</b>	<b>578</b>		

The total over commitment is £14k less than the General Capital figure quoted in table 2.1 due to small under commitments in ring fenced schemes.

## 2.3 Capital Business Cases

For capital funding general and specific in 2021/22, 92 business cases are required, an increase of 19 from the October Trust Board Report due to funding received from the October Monitoring Round. At 30<sup>th</sup> October 2021, 82 (89%) have been received and are at various stages in the QA process, 10 remain outstanding from the October Trust Board Report. Those responsible for the outstanding business cases have been communicated with in terms of the importance of having allocations out in a timely manner to ensure full spend. They will be discussed further at the monthly monitoring meetings.

Division	No. of Business Cases Required	No. of Business Cases Received	No. of Business Cases Outstanding
Corporate (Across Divisions)	13	11	2
Community Care	2	2	-
Finance & Estates (Including Backlog Maintenance)	25	19	6
Human Resources, Organisation Development & Corporate Communications	1	1	-
Medical and Governance (Incorporating Pharmacy & Governance)	3	3	-
Medicine and Emergency Medicine	3	2	1
Mental Health, Learning Disability and Community Well-being (& Task & Finish)	2	2	-
Nursing and User Experience (Including Corporate Support Services)	32	32	-
Surgical and Clinical Services	6	6	-
Strategic Development and Business Services	1	1	-
Women, Children and Families	4	3	1
<b>Totals</b>	<b>92</b>	<b>82</b>	<b>10</b>

## 2.4 Business Cases to be presented at November Trust Board

All capital business cases over £250k require Trust Board approval.

The following table lists the Business Cases that will be tabled at November Trust Board.

Scheme Title	£'000
Moyle Outpatients Roof	313
Mid Ulster Hospital Boiler Decentralisation and Oil to Gas Conversion	751
<b>Total</b>	<b>1,064</b>

## Section 3: Results of Executive's October Monitoring

The Trust was successful in securing capital from the Executive's October Monitoring round on 20<sup>th</sup> October 2021, as detailed in the table below:

Capital Bids	Capital Bids £'000	
General Capital	Vehicle replacement programme Trustwide	600
	Replacement Household Lifts	125
	Laundry Fire Damage Remedial Works	100
	Medical Devices	45
	<b>Total</b>	<b>870</b>

The Executive's January Monitoring Round is due to be submitted on 16<sup>th</sup> November with requests for additional capital funding and confirmation that full spend of the current CRL will be achieved.

## Section 4: Post Project Evaluations (PPEs)

At 30<sup>th</sup> October 2021 the numbers of outstanding PPEs for both 2019/20 and 2020/21 remains unchanged. 3 PPEs out of 109 (2.7%) for 2019/20, and 14 PPEs out of 106 (13%) for 2020/21. Capital Development remains committed in assisting Divisions with the completion of the outstanding 2019/20 PPEs as well as those required for 2020/21 and these are discussed and reviewed at the monthly monitoring meetings

## Section 5: Capital Programme for 2022/23.

The process to compile the draft Capital Programme for 2022/23 began on 15<sup>th</sup> October 2021. Each step in the programme is set out below. The final draft of the plan is to be presented at Trust Board on 24<sup>th</sup> March 2021.

Actions	To be Completed by:
1. Prioritised list to be submitted to Capital Development	Friday 19 <sup>th</sup> November 2021
2. Review of Estate related bids regarding deliverability	Friday 26 <sup>th</sup> November 2021
3. Draft Plan developed and presented for review at Capital Development Management Group	Thursday 2 <sup>nd</sup> December 2021
4. Draft Plan be tabled at SMT for ratification	Tuesday 14 <sup>th</sup> December 2021
5. Notification to commence development of business cases	W/C Monday 20 <sup>th</sup> December 2021
6. Business Cases and finance templates for proposed bids to be with Capital Development.	Friday 25 <sup>th</sup> February 2022
7. Draft General Capital Plan 2022/23 to be tabled at Trust Board for noting	Thursday 24 <sup>th</sup> March 2022
8. Allocation Memos to be issued on receipt of CRL from the Department of Health	TBC

## Section 6: Key Assumptions and Issues



There are a number of assumptions and issues relating to the Capital Plan for 2021/22. These include:

- The close monitoring of capital throughout the year. Monthly monitoring meetings commenced in August 2021 with Capital Development working alongside the Divisions to ensure any overspend or slippage on schemes is identified and addressed early allowing full spend to be achieved by 31<sup>st</sup> March 2022.
- Ensuring Business Cases are drafted and approved in a timely manner so that capital funding received through CRLs and Monitoring Rounds is allocated in time to ensure all funding is spent by 31<sup>st</sup> March 2022.
- Ensuring all variations from business case costs are brought to the attention of Capital Development immediately so additional funding can be identified if available or any slippage can be reallocated.
- The Northern Trust began implementation of the new 5 Case Model for business cases in July 2021. The Department of Health has notified all Trusts that the new 5 Case Model must be used for all business cases from 1<sup>st</sup> April 2022 and this instruction will be shared with business case authors as part of the request for business cases for 2022/23.. Following an information session for the trust facilitated by the Department of Health training is to be provided to business case authors as and when required.
- Capital Development and Finance will ensure any Business Cases required urgently due to timelines for Estates are prioritised through the QA process.


Our Vision

**To deliver excellent integrated services in partnership with our community**

If you would like to give feedback on any of our services please contact:

**Email:** [user.feedback@northerntrust.hscni.net](mailto:user.feedback@northerntrust.hscni.net)

**Telephone:** 028 9442 4655

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