Northern Health and Social Care Trust

INVESTORS IN PEOPLE | Accredited Until 2021

Trust Board
Capital Programme
April 2023

Prepared & Issued by Capital Development, SPP&ICT

25th May 2023

Contents

Section 1: Capital Position Year End 2022/23

1.1 CRL Allocation 2022/23

The Trust's Capital Resourcing Limit (CRL) for 2022/23 was £46,722m. The table below shows the breakdown of the specific and general allocations and the final spend position:

Funding type	Project	Capital Allocation £'000	Final Spend £'000	(Under)/Over Spend £'000
Specific	Mental Health Inpatient Facility	911	911	-
	Inpatient Beds, Antrim Hospital	17,682	17,682	-
	GP Schemes	1,426	1,414	(12)
	ICT	4,575	4,574	(1)
	Electrical Infrastructure Antrim	237	237	-
	CPD Schemes	393	393	-
General	General Capital	8,824	8,853	29
	Backlog Maintenance	5,250	5,259	9
	Invest to Save – Energy Efficiency	535	540	5
	Task and Finish	692	692	-
	Imaging Diagnostics	4,748	4,721	(27)
	Elective Care Equipment and Minor Works (Mid Ulster Eye Centre)	299	299	-
	Decontamination	680	672	(8)
	IFRS 6 Leases	470	472	2
	Total CRL available	**46,722**	**46,719**	**(3)**

The year end position was a very small underspend of £3k (0.006%). Examples of areas underspent include Imaging Diagnostics and No More Silos - Urgent Care Centre. Throughout the year as potential underspends became apparent Capital Development ensured any funding was re-allocated to other projects to maximise the potential for full spend to be achieved. Total slippage/underspends reallocated in 2022/23 amounted to just under £4m with £0.7m only known in the last quarter of the financial year.

Section 2: Capital Programme 2023/24

2.1 CRL Allocation 2023/24

Following receipt of the most recent CRL on 26th April 2023 the Trusts Capital Resourcing Limit is £13.899m, made up of the following specific and general allocations:

Funding type		Total £'000
Specific	Mental Health Inpatient Facility	2,977
	Inpatient Beds, Antrim Hospital	3,561
	Electrical Infrastructure Antrim	1,859
	GP Improvement Scheme (Trust Owned)	562
General	General Capital[1]	4,939
	Total CRL available	**13,899**

[1]Breakdown of General Capital (including over commitment) by division is provided in the next table

2.2 General Capital Split by Division

The table below provides the breakdown of capital across the Divisions:

Division	£'000	%
Children and Young People	46	0.5
Corporate (across divisions)	4,333	50.9
Community Care	384	4.5
Finance & Estates (Excluding Backlog Maintenance & Invest to Save)	30	0.4
Medical Devices	786	9.2
Medicine and Emergency Medicine	137	1.6

Medical & Governance (Incorporating Pharmacy)	82	1.0
Mental Health, Learning Disability and Community Well-being	1,665	19.5
Paediatrics, Women's Services and Corporate Support	326	3.8
Surgical and Clinical Services	729	8.6
Total Allocated	**8,518**	
General Capital CRL	**4,939**	
Over (Under) Allocated	**3,579**	

As with previous years the plan is over committed in terms of OBC development as there is a high likelihood that schemes will not complete fully in year or in the process of development of the OBC may not be viable. The Trust is presenting an over commitment against the General CRL of £3,579k which will assist in managing slippage throughout the year as allocations are received.

2.3 Capital Business Cases

A total of 34 schemes are already committed or deferred from 2022/23 and allocation memos are currently being issued for these schemes. For capital funding in 2023/24, 30 business cases are required. At 30th April 2023, 9 (30%) have been received and are at various stages in the QA process, 21 are still outstanding.

Division	No. of Business Cases Required	No. of Business Cases Received	No. of Business Cases Outstanding
Children and Young People	2	1	1
Corporate (across divisions)	3	-	3
Community Care	2	2	-
Finance & Estates (Including Backlog Maintenance)	4	1	3
Medicine and Emergency Medicine	4	1	3
Medical & Governance (Incorporating Pharmacy)	3	2	1
Mental Health, Learning Disability and Community Well-being	1	1	-
Paediatrics, Women's Services and Corporate Support	5	1	4
Surgical and Clinical Services	6	-	6
Totals	**30**	**9**	**21**

2.4 Additional Pressures

Capital Development Department maintains a list of capital pressures highlighted by Divisions as requiring urgent funding. At 30th April 2023 this list totalled over £199k with other pressures where funding is not yet identified.

2.5 Business Cases to be presented at May Trust Board

As per the new General Capital Management Policy which was approved at the Non-Clinical Policy Committee on 1st March 23 all capital business cases over £500k require Trust Board approval**.** The following table lists the Business Cases that will be tabled at May Trust Board.

Scheme Title	£'000
Installation and Replacement of Lifts in Service Users Home Environments	620
Total	**620**

The following table lists the Addendums that will be tabled at May Trust Board.

Scheme Title	£'000
Braid Valley Hospital – Boiler House Roof Replacement and Remedial Works	55
Mid-Ulster Health Care, Cookstown – Accommodation to Facilitate the Expansion of GMS in the Practice	345
Total	**400**

Section 3: Post Project Evaluations (PPEs)

3.1 Capital Post Project Evaluations 2020/21

At 30th April 2023, 6 PPEs remain outstanding, previously 9 from the January Trust Board Report. These have now been escalated to the Divisional Directors who are ensuring the remainder are submitted by the end of May 23. The following table shows the number outstanding per Division.

Division	Number of PPEs Outstanding
Mental Health, Learning Disability and Community Well Being	5
Surgical and Clinical Services	1
Total	6

3.2 Capital Post Project Evaluations 2021/22

Out of 134 PPEs required for projects which completed during 2021/22 91 (68%) have been received compared to 70 noted at January Trust Board. Capital Development is working with the Divisions on the completion of the 43 still outstanding.

Division	Total PPEs Required	Total PPEs Completed	Total PPEs Outstanding
Children and Young People	6	5	1
Community Care	4	4	-
Finance & Estates	23	2	21
Medicine and Emergency Medicine	8	8	-
Medical and Governance (Incorporating Pharmacy)	5	4	1
Mental Health Learning Disability and Community Well Being	1	-	1
Paediatrics, Women's Services and Corporate Support	53	34	19
Strategic Planning, Performance & ICT	1	1	-
Surgical and Clinical Services	33	33	-
Total	**134**	**91**	**43**

3.3 Capital Post Project Evaluations 2022/23

There are 119 PPEs due to be completed for projects which completed during 2022/23. Capital Development has commenced the process of requesting the PPEs and assisting Divisions with their completion.

The table below shows the dates when the final PPEs are due:-

Division	Total PPEs Required	Total PPEs Completed	PPEs Due 30th June 2023	PPEs Due 30th Sept 2023	PPEs Due 31st Dec 2023	PPEs Due 31st Mar 2024
Children and Young People	3	-	-	2	-	1
Community Care	4	-	-	3	-	1
Finance & Estates	22	-	-	12	3	7
Human Resources	2	-	-	2	-	-
Medicine and Emergency Medicine	10	-	-	8	2	-
Medical and Governance (Incorporating Pharmacy)	3	-	-	2	-	1
Mental Health Learning Disability and Community Well Being	4	-	-	4	-	-
Paediatrics, Women's Services and Corporate Support	30	-	-	30	-	-
Strategic Planning, Performance & ICT	2	-	-	-	-	2
Surgical and Clinical Services	39	-	1	34	-	4
Total	**119**	**-**	**1**	**97**	**5**	**16**

Section 4: Key Assumptions and Issues

There are a number of assumptions and issues relating to the Capital Plan for 2023/24. These include:

- The close monitoring of capital throughout the year. Monthly monitoring meetings will commence in June/July 2023 with Capital Development working alongside the Divisions to ensure any overspend or slippage on schemes is identified and addressed early allowing full spend to be achieved by 31st March 2024.
- Ensuring the over commitments of capital are managed in such a way to ensure breakeven is achieved.
- Ensuring Business Cases are drafted and approved in a timely manner so that capital funding received through CRLs and Monitoring Rounds is allocated in time to ensure all funding is spent by 31st March 2024.
- Ensuring all variations from business case costs are brought to the attention of Capital Development immediately so additional funding can be identified if available or any slippage can be reallocated.
- Capital Development and Finance will ensure any Business Cases required urgently due to timelines for Estates are prioritised through the QA process.

Our Vision

To deliver excellent integrated services in partnership with our community

If you would like to give feedback on any of our services please contact:
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Northern Health and Social Care Trust

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