Northern Health and Social Care Trust

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Trust Board Capital Programme February 2022

Prepared & Issued by Capital Development, SD&BS

24th March 2022

Contents

Section 1: Capital Programme 2021/22

1.1 CRL Allocation 2021/22

Following receipt of the most recent CRL on 28th February 2022, the Trust's Capital Resourcing Limit is £29.600m, which is an increase of £344k from January Trust Board relating to funding received for ICT.

The table below shows the breakdown of the specific and general allocations:

Funding type	Project	Total £'000
Specific	Mental Health Inpatient Facility	1,246
	ICT Tranche 1,2,3,4,6 & 7	7,256
General	General Capital[1]	10,059
	Farm Families Health Check	95
	Invest to Save	975
	Task and Finish	852
	Backlog Maintenance	4,750
	ICU Relocation Works (Antrim Hospital)	2,067
	No More Silos	500
	Imaging Diagnostics	1,800
	Total CRL available	**29,600**

[1]Breakdown of General Capital (including over commitment) by division is provided in the next table

Section 2: Capital Allocations at 28th February 2022

2.1 General Capital Split by Division (based on over commitment in relation to OBC development)

The table below provides the breakdown of capital across the Divisions.

Division	£'000	%
Corporate (across divisions)	2,176	18.9
Community Care	283	2.5
Finance & Estates (Excluding Backlog Maintenance)	609	5.3
Medical Devices	4,755	41.5
Medical and Governance (Incorporating Pharmacy & Governance)	70	0.6
Medicine and Emergency Medicine	171	1.5
Mental Health, Learning Disability and Community Well-being	249	2.2
Nursing and User Experience (Including Corporate Support Services)	2,330	20.3
Surgical and Clinical Services	387	3.4
Strategic Development and Business Services	250	2.2
Women, Children and Families	187	1.6
Total Allocated	**11,467**	**100**
General Capital CRL	**10,059**	
Over (Under) Allocated	**1,408**	

As with previous years the plan is over committed in terms of OBC development as there is a high likelihood that schemes will not complete fully in year or following OBC development may not be viable. The Trust is presenting an over commitment against the General CRL of £1,408k, an increase of £335k from the October Trust Board Report. This relates to further allocations of general capital funding against potential slippage of £1,146k.

2.2 Specific and General Capital Spend per scheme at 28th February 2022

The following table indicates the actual spend and commitments at 28th February 2022. 65% of the total approved capital has been spent or committed which is an increase of 8% from January Trust Board with ICT expenditure equating to 24% of the overall spend.

Approved Funding 2021/22	Approved Funding £'000	Spend £'000	% Spend of Approved Funding
Corporate (Across Divisions)	5,989	3,470	
Community Care	283	34	
Finance & Estates (Including Backlog Maintenance & Invest to Save)	6,159	1,600	
Medical Devices (Including Ring Fenced Imaging Diagnostics)	6,430	5,135	
Medical (Incorporating Pharmacy and Governance)	70	4	
Medicine and Emergency Medicine	171	21	
Mental Health Learning Disability and Community Well Being (Inc. Task & Finish)	1,296	197	
Nursing and User Experience	2,330	2,011	
Surgical and Clinical Services	393	156	
Strategic Development and Business Services (ICT)	7,413	7,243	
Women, Children and Families	187	43	
Total	**30,722**	**19,914**	**65%**
Total Capital CRL	**29,600**		
Over (Under) Allocated	**1,122**		

The total over commitment is £286k less than the General Capital figure quoted in table 2.1 due to under commitments in backlog maintenance schemes and funding to be returned for ICT.

2.3 Capital Business Cases

For capital funding general and specific in 2021/22, 158 business cases were required, an increase of 18 from the January Trust Board Report due to additional funding being allocated to manage potential slippage. At 28th February 2022, all business cases have been received.

Division	No. of Business Cases Received
Corporate (Across Divisions)	11
Community Care	1
Finance & Estates (Including Backlog Maintenance)	27
Medical and Governance (Incorporating Pharmacy & Governance)	3
Medicine and Emergency Medicine	7
Mental Health, Learning Disability and Community Well-being (Inc. Task & Finish)	4
Nursing and User Experience (Including Corporate Support Services)	56
Surgical and Clinical Services	36
Strategic Development and Business Services	2
Women, Children and Families	11
Totals	**158**

Section 3: Post Project Evaluations (PPEs)

3.1 Capital Post Project Evaluations 2019/20

At 28th February 2022, 2 PPEs out of 109 (1.8%) remain outstanding. The Divisions are currently working on ensuring the remainder are submitted as soon as possible. The following table shows the number outstanding per Division.

Division	Number of PPEs Required	Number of PPEs Received	Number of PPEs Outstanding
Community Care	3	3	-
Finance & Estates	15	15	-
Medicine and Emergency Medicine	2	2	-
Medical and Governance (Incorporating Pharmacy)	1	1	-
Mental Health, Learning Disability and Community Well Being	8	7	1
Nursing and User Experience (Including CSS)	35	34	1
Strategic Development and Business Services	3	3	-
Surgical and Clinical Services	33	33	-
Women, Children and Families	9	9	-
Total	109	107	2

3.2 Capital Post Project Evaluations 2020/21

There are 106 PPEs due to be completed for projects which completed during 2020/21. At 28th February 2022, 82 (77%) have been completed compared to 65% at the same period last year. Capital Development is currently assisting Divisions with the completion of the outstanding 2020/21 PPEs.

The table below shows the dates when the final PPEs are due:-

Division	Total PPEs Required	Total PPEs Completed	PPEs Outstanding 30th June 2021	PPEs Outstanding 30th Sept 2021	PPEs Outstanding 31st Dec 2021	PPEs Due 31st Mar 2022
Community Care	6	6	-	-	-	-
Finance & Estates	13	5	-	3	2	3
Medicine and Emergency Medicine	4	3	-	-	-	1
Medical and Governance (Incorporating Pharmacy)	4	2	-	-	-	2
Mental Health Learning Disability and Community Well Being	10	5	3	2	-	-
Nursing and User Experience	32	32	-	-	-	-
Strategic Development and Business Services	3	2	-	-	-	1
Surgical and Clinical Services	25	24	-	-	-	1
Women, Children and Families	6	3	-	1	-	2
GP Improvement Schemes (MDTs)	3	-	-	-	-	3
Total	**106**	**82**	**3**	**6**	**2**	**13**

Section 4: Capital Programme for 2022/23

The process to compile the draft Capital Programme for 2022/23 began on 15th October 2021. Each step in the programme is set out below. The final draft of the plan is to be presented at Trust Board on 24th March 2021.

Actions	To be Completed by:
1. Prioritised list to be submitted to Capital Development	Friday 19th November 2021
2. Review of Estate related bids regarding deliverability	Friday 26th November 2021
3. Draft Plan developed and presented for review at Capital Development Management Group	Thursday 2nd December 2021
4. Draft Plan be tabled at SMT for ratification	Tuesday 11th January 2022
5. Notification to commence development of business cases	W/C Monday 17th January 2022
6. Business Cases and finance templates for proposed bids to be with Capital Development.	Friday 25th February 2022
7. Draft General Capital Plan 2022/23 to be tabled at Trust Board for noting	Thursday 24th March 2022
8. Allocation Memos to be issued on receipt of CRL from the Department of Health	TBC

Section 5: Key Assumptions and Issues

There are a number of assumptions and issues relating to the Capital Plan for 2021/22. These include:

- The close monitoring of capital throughout the year. Monthly monitoring meetings commenced in August 2021 with Capital Development working alongside the Divisions to ensure any overspend or slippage on schemes is identified and addressed early allowing full spend to be achieved by 31st March 2022.
- Ensuring the additional over commitments of capital are managed in such a way to ensure breakeven is achieved.
- Ensuring Business Cases are drafted and approved in a timely manner so that capital funding received through CRLs and Monitoring Rounds is allocated in time to ensure all funding is spent by 31st March 2022.
- Ensuring all variations from business case costs are brought to the attention of Capital Development immediately so additional funding can be identified if available or any slippage can be reallocated.
- The Northern Trust began implementation of the new 5 Case Model for business cases in July 2021. The Department of Health has notified all Trusts that the new 5 Case Model must be used for all business cases from 1st April 2022 and this instruction will be shared with business case authors as part of the request for business cases for 2022/23. Following an information session for the trust facilitated by the Department of Health training is to be provided to business case authors as and when required.
- Capital Development and Finance will ensure any Business Cases required urgently due to timelines for Estates are prioritised through the QA process.

Our Vision

To deliver excellent integrated services in partnership with our community

If you would like to give feedback on any of our services please contact:

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Northern Health and Social Care Trust

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