

Trust Board Capital Programme October 2023

Prepared & Issued by Capital Development,
Infrastructure Division

23rd November 2023

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Section 1: Capital Programme 2023/24

1.1 CRL Allocation 2023/24

Following receipt of the most recent CRL on 20th October 2023 the Trusts Capital Resourcing Limit is £28.588m. This is an increase of £1.922m from the October Trust Board report (presenting September position). This is a result of allocations received in respect of, Car Parking – Hospital Parking Charges Act (£1,034k) and IFRS 16 Leases (£888k). No further bids will be accepted for general capital 2023/24 due to existing pressures. However capital bids that are critical will be considered on an individual basis. Table 1 below shows the breakdown of the CRL.

Table 1: Breakdown of CRL as at 31st October 2023

Funding type		Total £'000
Specific	Mental Health Inpatient Facility	2,027
	Inpatient Beds, Antrim Hospital	5,612
	Research & Development	213
	Electrical Infrastructure Antrim	450
	GP Improvement Scheme (Trust Owned)	638
	ICT	791
General	General Capital ¹	7,146
	Invest to Save	1,800
	Backlog Maintenance	3,024
	Task & Finish	1,453
	Imaging Diagnostics	512
	Rapid Diagnostic Centre	3,000
	Car Parking – Hospital Parking Charges Act	1,034
	IFRS 16 Leases	888
	Total CRL available	28,588

¹Breakdown of General Capital (including over commitment) by division is provided in the next table

1.2 General Capital Split by Division

As with previous years the plan is over committed in terms of OBC development as there is a high likelihood that schemes will not complete fully in year or in the process of development of the OBC may not be viable. The Trust is presenting an over commitment against the General CRL of £139k which is a reduction of £323k from the September Trust Board report as a result of slippage declared in the delayed scheme to upgrade the endoscopy decontamination equipment and ventilation systems in Antrim Hospital Day Procedure Unit. Table 2 below provides the breakdown of capital across the Divisions:

Table 2: General Capital Allocation by Division

Division	£'000	% of GC allocation
Children and Young People	17	0.2
Corporate (across divisions)	3,215	44.1
Community Care	483	6.6
Infrastructure (Excluding Backlog Maintenance & Invest to Save)	190	2.6
Medical Devices	1,264	17.3
Medicine and Emergency Medicine	435	6.0
Medical & Governance (Incorporating Pharmacy)	81	1.1
Mental Health, Learning Disability and Community Well-being	259	3.5
Paediatrics, Women's Services and Corporate Support	391	5.4
Strategic Planning, Performance & ICT	276	3.8
Surgical and Clinical Services	674	9.3
Total Approved Funding	7,285	
General Capital CRL	7,146	
Over (Under) Allocated	139	

1.3 Specific and General Capital Spend by Division at 31st October 2023

The following table indicates the actual spend and commitments at 31st October 2023. At this date 30.7% of the total approved capital has been spent or committed which is an increase of 16.6% on the same period last year.

Table 3: Specific and General Capital Spend by Division

Approved Funding 2023/24	Approved Funding £'000	Spend £'000	% Spend of Approved Funding
Children and Young People	17	-	
Corporate (across divisions)	17,076	5,975	
Community Care	483	186	
Infrastructure (Including Backlog Maintenance & Invest to Save)	5,076	933	
Medical Devices	1,807	114	
Medicine and Emergency Medicine	435	172	
Medical & Governance (Incorporating Pharmacy)	81	-	
Mental Health, Learning Disability and Community Well-being (Including T&F)	1,712	342	
Paediatrics, Women's Services and Corporate Support	391	316	
Strategic Planning, Performance & ICT	1,068	434	
Surgical and Clinical Services	643	378	
Total	28,789	8,850	30.7%
Total Capital CRL	28,588		
Over (Under) Allocated	201		

The total trust over commitment is £201k which is £62k over the General Capital by division (£139k) as shown in table 2. This is due to over commitment in Backlog Maintenance to support any schemes which may not complete fully in year.

1.4 Capital Business Cases

A total of 34 schemes were committed or deferred from 2022/23 and allocation memos have been issued for 33 (97%), the remaining scheme is currently being reviewed. For capital funding in 2023/24, 43 business cases are required, including medical devices which is an increase of 3 from the October report. As of 31st October 2023, 38 (88%) have been received and 5 are still outstanding. Of those received 33 have been allocated and the other 5 are at various stages in the QA process. Table 4 below provides the split by Division.

Table 4: Business Case Position by Division

Division	No. of Business Cases Required	No. of Business Cases Received	No. of Business Cases Outstanding
Children and Young People	1	1	-
Corporate (across divisions)	3	3	-
Community Care	2	2	-
Infrastructure (Including Backlog Maintenance)	7	7	-
Medicine and Emergency Medicine	3	3	-
Medical & Governance (Incorporating Pharmacy)	3	3	-
Mental Health, Learning Disability and Community Well-being	4	3	1
Paediatrics, Women's Services and Corporate Support	7	7	-
Surgical and Clinical Services	13	9	4
Totals	43	38	5

1.5 Additional Pressures

The Capital Development Department maintains a list of capital pressures highlighted by Divisions as requiring urgent funding. As of the 31st October 2023 this list totalled over £990k and includes Medical Devices, replacement windows and doors for children's homes, along with requests for the completion of original bids such as household lifts

1.6 Business Cases to be presented at November Trust Board

As per the General Capital Management Policy, all Capital Business Cases over the value of £500k require Trust Board approval. Table 5 below lists the Business Cases to be tabled for approval at November Trust Board.

Table 5: Business Cases being presented at November Trust Board

Scheme Title	£'000
Cookstown Treatment Room - Medium to Long Term GMS Accommodation Needs	592
TOTAL	592

Table 6 lists the Addendums that will be tabled at November Trust Board which are schemes where the costs have increased less than 10%.

Table 6: Addendums being presented at November Trust Board

Scheme Title	£'000
Replacement of Mid Ulster Theatres Ventilation and Air Handling Unit to Include Temperature Control Plus Minor Refurbishment Recovery	50
Total	50

Section 2: Post Project Evaluations (PPE)

2.1 Capital Post Project Evaluations 2022/23

There are 111 PPEs due for projects which completed during 2022/23. Capital Development have requested the service completion and return of PPEs by their date due and at the time of report completion 30 have been received (27%) which is an increase of 17 (15%) from the October report, which is a slight decrease from the same period last year, 8%. Capital Development have had assurances from the Divisions to work on those outstanding. Table 7 below shows the dates when the final PPEs are due:

Table 7: PPE position

Division	Total PPEs Required	Total PPEs Completed	PPEs Outstanding 30 th Sept 2023	PPEs Due 31 st Dec 2023	PPEs Due 31 st Mar 2024
Children and Young People	4	1	1	-	2
Community Care	4	-	3	-	1
Infrastructure	21	11	-	3	7
Human Resources	2	-	2	-	-
Medicine and Emergency Medicine	8	6	1	1	-
Medical and Governance (Incorporating Pharmacy)	3	2	1	-	-
Mental Health Learning Disability and Community Well Being	4	-	4	-	-
Paediatrics, Women's Services and Corporate Support	26	-	26	-	-
Strategic Planning, Performance & ICT	2	-	-	-	2
Surgical and Clinical Services	37	10	23	-	4
Total	111	30	61	4	16

Section 3: Capital Programme 2024/25

The process to compile the draft Capital Programme for 2024/25 began on 25th October 2023. Table 8 below sets out each step.

Table 8: Capital Programme Key Dates

Actions	To be Completed by:
1. Prioritised list to be submitted to Capital Development	Friday 17 th November 2023
2. Review of bids by Capital Development	Monday 20 th November 2023
3. Review of Estate related bids regarding deliverability	Monday 27 th November 2023
4. Draft Plan developed and presented for review at Capital Development Management Group	Monday 4 th December 2023
5. Draft Plan be tabled at SMT for ratification	Tuesday 12 th December 2023
6. Notification to commence development of business cases	W/C Monday 18 th December 2023
7. Business Cases and finance templates for proposed bids to be with Capital Development.	Friday 23 rd February 2024
8. Draft General Capital Plan 2024/25 to be tabled at Trust Board for noting	Thursday 21 st March 2024
9. Allocation Memos to be issued on receipt of CRL from the Department of Health	TBC

Section 4: Key Assumptions and Issues

There are a number of assumptions and issues relating to the Capital Plan for 2023/24. These include:

- The close monitoring of capital throughout the year. Monthly monitoring meetings commenced in August 2023 with Capital Development working alongside the Divisions to ensure any overspend or slippage on schemes is identified and addressed early allowing full spend to be achieved by 31st March 2024.
- Ensuring the over commitments of capital are managed in such a way to ensure breakeven is achieved.
- Ensuring the schemes carried over from 22/23 are managed alongside any new schemes funded in 23/24.
- Monitoring the development of Business Cases liaising with stakeholders to ensure business cases are drafted and approved in a timely manner so that capital funding received through CRLs and Monitoring Rounds are allocated in time to ensure all funding is spent by 31st March 2024.
- Continual monitoring of schemes to ensure all variations from business case costs are brought to the attention of Capital Development immediately so additional funding can be identified if available or any slippage can be reallocated.
- Capital Development and Finance will ensure any Business Cases required urgently due to timelines for Estates are prioritised through the QA process.

Our Vision

**To deliver excellent integrated
services in partnership with
our community**

If you would like to give feedback on
any of our services please contact:

Email: user.feedback@northerntrust.hscni.net

Telephone: 028 9442 4655

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www.northerntrust.hscni.net

