

# Trust Board Capital Programme September 2023

Prepared & Issued by Capital Development,  
Infrastructure Division

26<sup>th</sup> October 2023

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## Section 1: Capital Programme 2023/24

### 1.1 CRL Allocation 2023/24

Following receipt of the most recent CRL on 28th September 2023 the Trusts Capital Resourcing Limit is £26.666m. This is an increase of £5.479m from the August Trust Board report (presenting July position). This is a result of allocations received in respect of, Research & Development (£213k), Invest to Save (£1,800k), Imaging Diagnostics (512k) and the Rapid Diagnosis Centre (£3,000k) along with increased funding for GP Improvement Schemes (£76k), ICT (207k) and Task & Finish (£620k), offset by retracted funding for the Mental Health Inpatient Facility (-£950k) due to a delay in the programme. Table 1 below shows the breakdown of the CRL.

**Table 1: Breakdown of CRL as at 28 September 2023**

| Funding type |                                     | Total<br>£'000 |
|--------------|-------------------------------------|----------------|
| Specific     | Mental Health Inpatient Facility    | 2,027          |
|              | Inpatient Beds, Antrim Hospital     | 5,612          |
|              | Research & Development              | 213            |
|              | Electrical Infrastructure Antrim    | 450            |
|              | GP Improvement Scheme (Trust Owned) | 638            |
|              | ICT                                 | 791            |
| General      | General Capital <sup>1</sup>        | 7,146          |
|              | Invest to Save                      | 1,800          |
|              | Backlog Maintenance                 | 3,024          |
|              | Task & Finish                       | 1,453          |
|              | Imaging Diagnostics                 | 512            |
|              | Rapid Diagnostic Centre             | 3,000          |
|              | <b>Total CRL available</b>          | <b>26,666</b>  |

<sup>1</sup>Breakdown of General Capital (including over commitment) by division is provided in the next table

## 1.2 General Capital Split by Division

As with previous years the plan is over committed in terms of OBC development as there is a high likelihood that schemes will not complete fully in year or in the process of development of the OBC may not be viable. The Trust is presenting an over commitment against the General CRL of £462k which is a reduction of £88k from the August Trust Board report due to the review of General Capital Schemes. Table 2 below provides the breakdown of capital across the Divisions:

**Table 2: General Capital Allocation by Division**

| Division                                                           | £'000        | % of GC allocation |
|--------------------------------------------------------------------|--------------|--------------------|
| Children and Young People                                          | 17           | 0.2                |
| Corporate (across divisions)                                       | 3,892        | 51.1               |
| Community Care                                                     | 484          | 6.3                |
| Finance & Estates (Excluding Backlog Maintenance & Invest to Save) | 220          | 2.8                |
| Medical Devices                                                    | 991          | 13.0               |
| Medicine and Emergency Medicine                                    | 527          | 6.9                |
| Medical & Governance (Incorporating Pharmacy)                      | 81           | 1.1                |
| Mental Health, Learning Disability and Community Well-being        | 259          | 3.4                |
| Paediatrics, Women's Services and Corporate Support                | 235          | 3.1                |
| Strategic Planning, Performance & ICT                              | 249          | 3.2                |
| Surgical and Clinical Services                                     | 653          | 8.9                |
| <b>Total Approved Funding</b>                                      | <b>7,608</b> |                    |
| <b>General Capital CRL</b>                                         | <b>7,146</b> |                    |
| <b>Over (Under) Allocated</b>                                      | <b>462</b>   |                    |

(Note: Finance & Estates/Strategic Planning, Performance & ICT Divisional changes will be reflected in the November 2023 Report)

### 1.3 Capital Business Cases

A total of 34 schemes were committed or deferred from 2022/23 and allocation memos have been issued for 32 (94%) of these, the remaining 2 schemes are currently being reviewed. For capital funding in 2023/24, 40 business cases are required, including medical devices. As of 30th September 2023, 35(88%) have been received and 5 are still outstanding. Of those received 26 have been allocated and the other 9 are at various stages in the QA process. Table 3 below provides the split by Division.

**Table 3: Business Case Position by Division**

| Division                                                    | No. of Business Cases Required | No. of Business Cases Received | No. of Business Cases Outstanding |
|-------------------------------------------------------------|--------------------------------|--------------------------------|-----------------------------------|
| Children and Young People                                   | 1                              | 1                              | -                                 |
| Corporate (across divisions)                                | 3                              | 2                              | 1                                 |
| Community Care                                              | 2                              | 2                              | -                                 |
| Finance & Estates (Including Backlog Maintenance)           | 8                              | 7                              | 1                                 |
| Medicine and Emergency Medicine                             | 3                              | 3                              | -                                 |
| Medical & Governance (Incorporating Pharmacy)               | 3                              | 3                              | -                                 |
| Mental Health, Learning Disability and Community Well-being | 4                              | 3                              | 1                                 |
| Paediatrics, Women's Services and Corporate Support         | 6                              | 6                              | -                                 |
| Surgical and Clinical Services                              | 10                             | 8                              | 2                                 |
| <b>Totals</b>                                               | <b>40</b>                      | <b>35</b>                      | <b>5</b>                          |

### 1.4 Additional Pressures

The Capital Development Department maintains a list of capital pressures highlighted by Divisions as requiring urgent funding. As of the 30<sup>th</sup> September 2023 this list totalled over £1m and includes Medical Devices, replacement windows and doors for children's homes, along with requests for the completion of original bids such as household lifts and replacement vehicles.

### 1.5 Business Cases to be presented at October Trust Board

As per the General Capital Management Policy, all Capital Business Cases over the value of £500k require Trust Board approval. Table 4 below lists the Business Cases to be tabled for approval at October Trust Board.

**Table 4: Business Cases being presented at October Trust Board**

| Scheme Title                          | £'000        |
|---------------------------------------|--------------|
| An Alongside Interim Midwife Led Unit | 3,732        |
| <b>TOTAL</b>                          | <b>3,732</b> |

Table 5 lists the Addendums that will be tabled at October Trust Board which are schemes where the costs have increased due to a rise in costs only.

**Table 5: Addendums being presented at October Trust Board**

| Scheme Title                                                                                                                     | £'000     |
|----------------------------------------------------------------------------------------------------------------------------------|-----------|
| Enabling works for the Implementation of the new contract and change of analysers in the Laboratory on the Antrim Hospital site. | 93        |
| <b>Total</b>                                                                                                                     | <b>93</b> |

## Section 2: Post Project Evaluations (PPE)

### 2.1 Capital Post Project Evaluations 2022/23

There are 111 PPEs due for projects which completed during 2022/23. Capital Development have requested the service completion and return of PPEs by their date due and at the time of report completion 13 have been received. At the Capital Development Management on Thursday 5<sup>th</sup> October the Divisions gave their commitment to work on those outstanding. Table 6 below shows the dates when the final PPEs are due:

**Table 6: PPE position**

| Division                                                   | Total PPEs Required | Total PPEs Completed | PPEs Outstanding 30 <sup>th</sup> Sept 2023 | PPEs Due 31 <sup>st</sup> Dec 2023 | PPEs Due 31 <sup>st</sup> Mar 2024 |
|------------------------------------------------------------|---------------------|----------------------|---------------------------------------------|------------------------------------|------------------------------------|
| Children and Young People                                  | 4                   | -                    | 2                                           | -                                  | 2                                  |
| Community Care                                             | 4                   | -                    | 3                                           | -                                  | 1                                  |
| Finance & Estates                                          | 21                  | 6                    | 5                                           | 3                                  | 7                                  |
| Human Resources                                            | 2                   | -                    | 2                                           | -                                  | -                                  |
| Medicine and Emergency Medicine                            | 8                   | 3                    | 3                                           | 2                                  | -                                  |
| Medical and Governance (Incorporating Pharmacy)            | 3                   | 2                    | 1                                           | -                                  | -                                  |
| Mental Health Learning Disability and Community Well Being | 4                   | -                    | 4                                           | -                                  | -                                  |
| Paediatrics, Women's Services and Corporate Support        | 26                  | -                    | 26                                          | -                                  | -                                  |
| Strategic Planning, Performance & ICT                      | 2                   | -                    | -                                           | -                                  | 2                                  |
| Surgical and Clinical Services                             | 37                  | 2                    | 31                                          | -                                  | 4                                  |
| <b>Total</b>                                               | <b>111</b>          | <b>13</b>            | <b>77</b>                                   | <b>5</b>                           | <b>16</b>                          |

### Section 3: Key Assumptions and Issues

There are a number of assumptions and issues relating to the Capital Plan for 2023/24. These include:

- The close monitoring of capital throughout the year. Monthly monitoring meetings commenced in August 2023 with Capital Development working alongside the Divisions to ensure any overspend or slippage on schemes is identified and addressed early allowing full spend to be achieved by 31<sup>st</sup> March 2024.
- Ensuring the over commitments of capital are managed in such a way to ensure breakeven is achieved.
- Ensuring the schemes carried over from 22/23 are managed alongside any new schemes funded in 23/24.
- Monitoring the development of Business Cases liaising with stakeholders to ensure business cases are drafted and approved in a timely manner so that capital funding received through CRLs and Monitoring Rounds are allocated in time to ensure all funding is spent by 31<sup>st</sup> March 2024.
- Continual monitoring of schemes to ensure all variations from business case costs are brought to the attention of Capital Development immediately so additional funding can be identified if available or any slippage can be reallocated.
- Capital Development and Finance will ensure any Business Cases required urgently due to timelines for Estates are prioritised through the QA process.

Our Vision

**To deliver excellent integrated services in partnership with our community**

If you would like to give feedback on any of our services please contact:

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